

Table of Contents

CHAPTER ONE - Information/Indictment

I. IN GENERAL	1-1
A. DISMISSING CHARGING DOCUMENT - A.3.a.....	1-1
1. Statutory Grounds for Dismissal - B.10.....	1-1
2. State May Appeal Order to Dismiss	1-5
3. Motion to Dismiss by Prosecuting Attorney - B.12	1-5
4. Purpose of Information	1-5
5. Sealing of Indictment or Information.....	1-5
6. Pretrial Diversion Program - B.4	1-6
7. Prosecutor's Duty to Amass Evidence and Prosecute	1-6
II. CONTENTS AND FORM.....	1-7
A. STATUTORY REQUIREMENTS - A.3.a.....	1-7
1. Contents	1-7
2. Form of Allegations	1-8
3. Time and Date of Offense.....	1-12
4. Place of Offense.....	1-13
5. Signatures and Verification Required	1-14
III. CONSTITUTIONAL ISSUES.....	1-15
A. DUE PROCESS RIGHT TO NOTICE - J.1.b.....	1-15
1. Must Inform Accused of Nature of Charges	1-15
2. Notification of Employers for Persons Working with Children.....	1-17
3. Uncharged Offense	1-17
4. Failure to Prove Crime as Charged	1-18
5. Joint Venture Theories.....	1-19
6. Failure to Specify Intent - Underlying Felony	1-19
B. "VAGUENESS" - J.1.c	1-19
1. Indiana Cases.....	1-19
2. Federal Constitution.....	1-20
C. DOUBLE JEOPARDY - J.10.....	1-20
D. CHALLENGING CONSTITUTIONALITY OF STATUTE - PROCEDURE	1-21
1. Motion to Dismiss - B.10.a.3	1-21
IV. DEFECTS IN CHARGING DOCUMENT	1-22
A. MATERIAL DEFECTS	1-22
1. Test for Material Defect.....	1-22
2. Examples of Material Defects.....	1-23
B. IMMATERIAL DEFECTS	1-28
1. Statutory Definition	1-28
2. Examples of Immaterial Defects.....	1-28
V. AMENDMENTS - A.5	1-32
A. FAJARDO'S EFFECT ON CASES BEFORE STATUTORY CHANGE.....	1-33
1. Retroactivity.....	1-33
2. Ex Post Facto	1-33
B. CURRENT LAW - PRE-FAJARDO ANALYSIS PARTLY STILL IN EFFECT	1-33
1. Cannot Amend Theory of Case or Identity of Offense Charged.....	1-34
2. Cure Immaterial Defects Any Time	1-34
3. Material Defects.....	1-34

4. Preserve Error.....	1-38
5. Amendment Filed in Court After It had Granted Change of Venue	1-38
6. Amendment Filed after Directed Verdict.....	1-38
7. Information Filed After Remand	1-38
8. Unamended Charges Remain “Live” when Information Amended a Second Time	1-38
C. SENTENCE ENHANCEMENTS: HABITUAL OFFENDER, LIFE WITHOUT PAROLE, DEATH SENTENCE, USE OF FIREARM.....	1-39
1. Habitual Offender - Procedure.....	1-39
2. Death Sentence; Life Imprisonment Without Parole	1-43
3. Use of Firearm, Possessing a Firearm While Dealing in a Controlled Substance.....	1-43
4. Repeat Sexual Offender Enhancement (see InD. Code § 35-50-2-14).....	1-44
5. Criminal Gang Enhancement (see InD. Code §35-50-2-15).....	1-44
6. Enhancement in Felony Cases for Causing Termination of Pregnancy (see InD. Code § 35- 50-2-16)	1-44
D. PROSECUTORIAL VINDICTIVENESS - INCREASES IN OFFENSES CHARGED	1-44
1. After Exercising Right of Appeal	1-44
2. Exercising Pre-Trial Rights.....	1-45
3. After mistrial.....	1-46

CHAPTER TWO - Initial Hearing, Omnibus Date, Pretrial Conference

I. INITIAL HEARING - B.1	2-1
A. CONSTITUTIONAL REQUIREMENTS	2-1
1. Purpose	2-1
2. Venue for Hearing	2-1
3. Procedure	2-1
4. Waiver of Hearing; Failure to Object - B.1.a	2-1
5. Delay in Initial Hearing	2-2
6. No Automatic Right to Counsel at Initial Hearing.....	2-3
7. Misdemeanor Cases	2-4
8. No Federal Right to Preliminary Hearing in State Court	2-4
B. INDIANA STATUTORY TIME LIMITS	2-4
1. Within 20 Days if Defendant Makes Bail	2-4
2. OWI Cases - 10 Days after Arrest if Defendant Makes Bail.....	2-5
3. Delaying Initial Hearing to File Charge.....	2-5
C. CONSTITUTIONAL ISSUES WITH INDIANA’S INITIAL HEARING STATUTE	2-5
D. DETERMINATION OF PROBABLE CAUSE – WARRANTLESS ARREST	2-6
1. Judicial Determination Before or After Arrest.....	2-6
2. 48 Hour Rule	2-7
E. INITIAL HEARING FOR ARREST PURSUANT TO WARRANT	2-7
1. Timing	2-7
2. Prerequisites for Arrest Warrant.....	2-8
3. Warrant Governs Proceeding	2-8
F. ADVISEMENTS OF RIGHTS AT INITIAL HEARING	2-8
1. Waiver of Rights.....	2-9
2. Indigency Determination and Assigned Counsel	2-9
G. LAWYER’S ENTRANCE INTO THE CASE.....	2-10
1. Usual Practice in Indiana.....	2-10
2. First Steps	2-10
3. Preparing for Initial Interview	2-12
4. Advising Client What to Expect.....	2-13

II. OMNIBUS DATE	2-13
A. SIGNIFICANCE.....	2-13
B. ESTABLISHED AT INITIAL HEARING.....	2-14
1. Felonies (45-75 Days)	2-14
2. Misdemeanor Charges Only (30-65 Days).....	2-14
C. MODIFYING OMNIBUS DATE	2-14
1. When Effective.....	2-14
2. WARNING: Modifications of Omnibus Date Are Not Implied	2-14
D. OMNIBUS DATE DEADLINES.....	2-15
1. Motions to Amend - Notice Requirements	2-14
2. Motions to Dismiss.....	2-16
3. Notice of Insanity Defense	2-17
4. Notice of Alibi Defense.....	2-17
E. STATUTORY MOTIONS WITH TIME LIMITS.....	2-18
1. Alibi Defense.....	2-19
2. Insanity Defense - Felonies.....	2-19
3. Insanity Defense – Misdemeanors	2-19
4. Dismissal - Felonies.....	2-19
5. Dismissal - Misdemeanors.....	2-19
6. Joinder	2-19
7. Severance.....	2-19
8. Continuance	2-19
9. Motion to Withdraw.....	2-19
10. "Rape Shield" Law.....	2-20
III. PRETRIAL CONFERENCE	2-20
A. IN GENERAL	2-20
1. Purpose	2-20
2. Local Rules.....	2-20
3. Timing	2-20
4. Memorandum Required.....	2-21
5. Presence of Defendant/Use of Admissions by Defendant.....	2-21
B. MOTIONS PRACTICE	2-21
1. Petition for Treatment in Lieu of Prosecution.....	2-21
2. Motion to Suppress.....	2-21
3. Procedure Following Pretrial Ruling	2-21
4. Motion <i>in Limine</i>	2-22
5. Requests for Admissions, Interrogatories	2-23
6. Pretrial Stipulation	2-23
7. CR 4 Motions for a Speedy Trial	2-24
8. Discovery Matters.....	2-24

CHAPTER THREE - Bail and Extradition

I. INTRODUCTION	3-1
A. PRE-TRIAL BAIL - B.2	3-1
1. Definition.....	3-1
2. Function.....	3-1
3. ABA Standards	3-1
4. Constitutional Right to Bail	3-2
5. Time for Challenging Bail	3-3

6. Court Sets Bail.....	3-3
7. Consideration of Pretrial Risk Assessment System.....	3-3
8. Reasonableness of Bail.....	3-4
9. Local Rules.....	3-4
10. Attorney Acting as Surety.....	3-4
B. BAIL AND INDIGENT	3-4
C. RELEASE ON OWN RECOGNIZANCE (O.R.)	3-5
1. Statutory.....	3-5
2. ABA Standards	3-5
3. Criminal Rule 26. Pretrial release.....	3-5
4. Related Issued Under the Federal Constitution.....	3-7
5. Related Issues Under Indiana Constitution - Right to Counsel at Bail Hearing	3-7
II. INDIANA STATUTES	3-7
A. BAILABLE OFFENSES.....	3-7
1. Murder.....	3-7
2. Treason	3-9
3. All Other Offenses Are Bailable.....	3-9
B. RISK ASSESSMENT	3-9
C. FIXING THE AMOUNT	3-10
1. Statutory Factors for Consideration at Bail Hearing.....	3-10
2. Excessive Bail.....	3-11
D. TYPES OF RELEASE	3-12
1. Defendant as Surety	3-12
2. Bonding Company or Other Sufficient "Solvent Sureties"	3-12
3. Recognizance Bond	3-13
4. Cash Bond; 10 Percent Deposit	3-13
5. Surrender of Defendant.....	3-17
E. CONDITIONS TO ASSURE APPEARANCE.....	3-18
1. Reasonableness.....	3-18
2. Drug testing	3-19
3. Refrain from contact with victim of violent crimes	3-19
4. Domestic Violent Cases	3-19
5. Bail for Foreign National Unlawfully Present in U.S.	3-19
F. ALTERING OR REVOKING BAIL, AND FAILURE TO APPEAR	3-19
1. Good Cause	3-19
2. Conditions to Revoke/Burden of Proof.....	3-21
3. Failure to Appear	3-22
4. Contempt	3-22
G. EFFECT OF MOTION TO DISMISS ON BAIL ORDER	3-22
III. INDIANA RULE - CRIMINAL RULE 26.....	3-22
A. Federal Constitutional Issues with Criminal Rule 26.....	3-23
B. Related Issues Under Indiana Constitution - Right to Counsel at Bail Hearing.....	3-24
IV. SPECIAL PROBLEMS IN PRETRIAL DETENTION.....	3-24
A. PAROLEES AND PROBATIONERS	3-24
B. EMERGENCY TRANSFER OF INMATES AWAITING TRIAL	3-24
1. In Danger of Serious Bodily Injury or Death or Represents Threat to Safety of Others - County Jail.....	3-24
2. Overcrowding or Inadequacy of Penal Facility.....	3-25
3. Return to County Jail	3-25
C. BAIL AND UNIFORM CRIMINAL EXTRADITION ACT	3-25
1. Must Admit Prisoner to Bail Before Warrant Issues.....	3-25
D. FEDERAL BAIL FOR DETAINED ALIENS.....	3-26
V. BAIL PENDING APPEAL OF CONVICTION.....	3-26

A.	RIGHT TO BAIL PENDING APPEAL, Ind. Code 35-33-9.....	3-26
1.	No Constitutional Right/Matter of Legislative Grace	3-26
2.	Discretionary with Court except for a Fine-Only sentence	3-26
3.	If Denied in Trial Court, Appellate Court May Consider	3-26
4.	Fixing Amount.....	3-26
B.	ELIGIBILITY FOR ADMISSION TO BAIL	3-27
1.	Ineligibility.....	3-27
2.	Must File Petition.....	3-27
3.	Defendant's Burden.....	3-27
4.	Conditions of Undertaking.....	3-27
C.	INDIANA APPELLATE REVIEW	3-27
D.	EFFECTS	3-28
1.	Stay of Judgment of Conviction.....	3-28
2.	Loss of Jurisdiction	3-28
VI.	MAKING AN EFFECTIVE APPLICATION FOR BAIL	3-28
A.	MAKE COMPLETE BAIL APPLICATIONS.....	3-28
B.	SEEK LESS RESTRICTIVE BAIL CONDITIONS.....	3-28
C.	ARGUING FOR BAIL.....	3-28
D.	RENEWING APPLICATIONS FOR BAIL.....	3-29
E.	CREATIVE OPTIONS	3-29
VII.	EXTRADITION	3-29
A.	IN GENERAL.....	3-29
1.	Things to Know	3-29
2.	Extradition Act.....	3-30
3.	Out-of-State Probationer or Parolee Compact	3-30
B.	STATUTORY PROCEDURES	3-30
1.	Request for Extradition - Documents Required	3-30
2.	Investigation by Indiana Authorities.....	3-31
3.	Requirements to Issue Order.....	3-31
4.	Arrest Procedure If Extradition Warrant Issues	3-31
5.	Notice to the Accused and Advisements of Rights.....	3-31
6.	Arrest Under Court Warrant, or Without a Warrant	3-31
7.	Procedure When Charges are Pending in Indiana.....	3-32
C.	CHALLENGING LEGALITY OF EXTRADITION: HABEAS PROCEEDING	3-32
1.	Burden on Defendant to Dispute.....	3-32
2.	Must Apply for Writ of Habeas Corpus.....	3-33
3.	Scope of Court's Inquiry	3-33
4.	Duty to Deliver	3-34
5.	Delay in Retrieving Prisoner by Extradition State.....	3-34
D.	APPEALING EXTRADITION ORDER - P.1.f.....	3-36
1.	Right to Appeal.....	3-36
2.	Court of Appeals.....	3-36
3.	Grounds	3-36
4.	Prepare for Appeal Even Before Habeas Hearing.....	3-36

CHAPTER FOUR - Competency to Stand Trial

I.	OVERVIEW - B.9	4-1
A.	INCOMPETENCY IS NOT THE SAME AS INSANITY.....	4-1
B.	A DEFENDANT MUST BE COMPETENT BEFORE STANDING TRIAL	4-1
1.	Test - Understand and Assist - B.9.a.....	4-2
2.	Burden of Proof	4-3

3. No Time Limit	4-3
4. Judicial Determination	4-3
5. When Hearing Required	4-4
6. Detention of Incompetent Defendants Beyond a Reasonable Time Violates Due Process	4-4
7. Dismissal of Charge for Incurably Incompetent Defendant	4-4
8. DMHA, not trial court, decides whether competency can be restored	4-5
II. PROCEDURES	4-5
A. HEARING REQUIREMENT - B.9.c	4-5
1. Whenever Reasonable Grounds Exist	4-5
2. Factors Requiring Further Inquiry	4-7
3. Waiver of Hearing	4-8
4. Prior Finding/Prior Commitment or Treatment	4-8
5. Timing	4-8
6. Involuntary Medication with Antipsychotic Drugs	4-9
7. Second Competency Determination	4-10
B. FINDING THAT DEFENDANT LACKS COMPREHENSION; COMMITMENT PROCEDURE - B.9.e	4-11
1. Division of Mental Health and Addiction to provide services; defendant to be held in least restrictive setting	4-11
2. After Finding: Division to Report Back to Court	4-11
3. Attainment of Competence to Stand Trial	4-12
4. Self-Incrimination	4-12
5. Competency Hearing Unrelated to Commitment Hearing	4-12
C. PLACEMENT	4-13
III. CONDITIONS AFFECTING COMPETENCY	4-13
A. INTELLECTUAL DISABILITY	4-13
1. Mental Age	4-13
2. Intelligence Quotient	4-13
3. Intellectual Disability in Combination with Mental Illness	4-14
4. Intellectual Disability and Physical Handicap	4-14
5. Other Cognitive Deficits	4-14
B. MENTAL ILLNESS or DISORDER	4-14
C. MEDICAL CONDITIONS	4-15
D. ALCOHOL AND DRUG ABUSE	4-15
E. DEFENDANT'S UNWILLINGNESS TO COOPERATE	4-15
F. MEMORY LOSS - AMNESIA	4-16
G. PLEA OF INSANITY	4-16
IV. STRATEGIES	4-16
A. DECIDING WHETHER TO INTRODUCE QUESTION OF COMPETENCY	4-16
1. Incompetency - Defense Perspective	4-16
2. Other Alternatives for Incompetent Defendant	4-16
3. Things to Consider Before Raising Competency	4-17
4. Defense Attorney Testimony at Competency Hearing	4-17
B. COURT-APPOINTED EXPERTS	4-18
C. EXPERT EVALUATION	4-18
1. Understand his current legal situation	4-18
2. Understand the charges made against him.	4-18
3. Understand the legal issues and procedures in his case.	4-18
4. Understand the possible dispositions, pleas, and penalties	4-18
5. Understand the facts relevant to his case.	4-18
6. Identify and locate witnesses.	4-18

7. Comprehend instructions and advice	4-18
8. Make decisions after advice.....	4-18
9. Follow testimony for contradictions or errors.....	4-18
10. Maintain a collaborative relationship with his attorney	4-18
11. Testify if necessary, and be cross-examined.....	4-18
12. Tolerate stress at the trial or while awaiting the trial.	4-18
13. Refrain from irrational behavior during the trial.....	4-18
D. PROTECTING THE CLIENT	4-19
1. Monitoring the Prosecution's Examination	4-19
2. Protecting the Client from Self-Incrimination.....	4-19
E. PREPARING FOR THE HEARING	4-21
1. Use of Consultant - Expert.....	4-21
2. Cross Examination of Opposing Expert Witnesses.....	4-21
3. Preparation for "On the Spot" or Illusory Tests of CST by Presiding Judges	4-21
F. TACTICS FOR RE-OPENING OF COMPETENCY	4-21

CHAPTER FIVE - Change of Judge / Change of Venue

I. CHANGE OF JUDGE.....	5-1
A. SOURCES OF THE RIGHT TO AN UNBIASED JUDGE	5-1
1. Federal Due Process	5-1
2. Indiana Criminal Rule 12.....	5-3
3. Ind. Judicial Conduct Canon 2, Rule 2.11	5-5
4. Statutes - cases on remand after reversal on appeal	5-7
B. ISSUES	5-7
1. Judge's belief that he can be fair is not controlling.....	5-7
2. Timing of motion and waiver of the right.....	5-8
3. Affidavit & sufficiency	5-10
4. When a hearing is required	5-12
5. Statutes providing peremptory change of judge.....	5-13
C. APPELLATE REVIEW / MAKING A RECORD.....	5-13
1. A biased tribunal cannot be harmless error.....	5-13
2. Indiana's occasional use of "abuse of discretion" standard criticized.....	5-14
3. After appeal	5-14
D. POST-CONVICTION PROCEEDINGS.....	5-14
1. Test	5-15
2. Cite Federal Case Law, and Raise Federal Due Process.....	5-15
E. SELECTION OF SPECIAL JUDGE - B.7.c.....	5-16
1. CR 13 - Case Reassignment and Special Judges	5-15
2. Authority to Enter Final Judgment	5-16
3. Duration of Service.....	5-16
4. Failure to Follow Procedures and Waiver.....	5-16
F. SPECIFIC PROBLEMS.....	5-16
1. Prior Representation of Defendant.....	5-16
2. Previous Service as Prosecutor	5-17
3. Named as Defendant in Lawsuit Brought by Defendant.....	5-18
4. Actions Against Co-Defendant	5-18
5. Comments Relating to Defendant	5-18
6. Comments/Rulings Demonstrating Lack of Impartiality	5-18
7. Former Prosecutors	5-18

8. Relationship With Prosecutor	5-19
9. Relationship With Defense Counsel	5-19
10. Relationship to Alleged Victim	5-19
11. Relationship to Defendant	5-20
12. Change of Special Judge.....	5-20
13. Personal Knowledge from Extrajudicial Sources.....	5-20
14. Party to Proceedings	5-21
15. Administrative <i>Ex Parte</i> Communication.....	5-21
16. Rescinding Recusal.....	5-21
17. Spouse' Involvement in Coalition Against Domestic Violence.....	5-22
18. Recusal Not Required Where Judge Reveals "Humanity".....	5-22
G. JUDGES <i>PRO TEMPORE</i> - MAGISTRATES AND MASTERS	5-22
1. Judges <i>Pro Tempore</i>	5-22
2. Master/Magistrate	5-23
H. CHALLENGING AUTHORITY TO ENTER FINAL APPEALABLE ORDER.....	5-23
1. Must Have Properly Preserved Objection.....	5-23
2. Record Must Disclose No Validly Appointed Judge	5-23
II. CHANGE OF VENUE FROM COUNTY	5-24
A. VENUE REQUIREMENT GENERALLY	5-24
1. Venue Statute Prescribes Location of Trial; Does Not Confer Jurisdiction	5-24
2. State Must Prove Venue	5-24
3. Venue in County Where Offense Committed	5-25
4. Exceptions.....	5-26
5. Transfer if Venue Improperly Laid.....	5-30
B. CHANGE OF VENUE - PROCEDURAL MATTERS.....	5-30
1. Basics.....	5-30
2. Time Limits	5-32
3. Hearing Required.....	5-32
C. SUCCESSIVE APPLICATIONS	5-33
1. Hearing Requirement.....	5-33
2. Conflict Whether Successive Changes of Venue Permissible	5-33
D. CHOOSING A NEW VENUE.....	5-33
1. Effect of Agreement by Parties.....	5-33
2. No Agreement by Parties.....	5-33
3. Procedure for Striking.....	5-34
4. Failure to Strike by Moving Party.....	5-34
5. Failure to Strike by Non-Moving Party	5-34
III. PREJUDICE AND PRETRIAL PUBLICITY - D.1.A	5-34
A. IN GENERAL	5-34
1. Burden of Proof	5-34
2. Required Showing	5-35
3. Judge's Role	5-36
4. Additional Reading.....	5-37
B. GATHERING EVIDENCE OF PREJUDICIAL PRETRIAL PUBLICITY	5-37
1. News Reports.....	5-37
2. Circulation and Audience Share Figures	5-37
3. Statistical Surveys.....	5-37
4. Community Witness Statements	5-38
5. Other Counties	5-38
6. Voir Dire.....	5-38
C. RACIAL PREJUDICE	5-38
1. Indiana Law	5-38
2. U.S. Supreme Court.....	5-39

D. APPELLATE REVIEW - PREJUDICIAL PRETRIAL PUBLICITY	5-39
1. Standard of Review.....	5-39
2. Preserving Error - Prejudicial Pretrial Publicity	5-40
E. ALTERNATIVE REMEDIES FOR PREJUDICIAL PRETRIAL PUBLICITY	5-40
1. Imported Juries	5-40
2. Test Jury	5-41

CHAPTER SIX - Discovery

I. WHAT YOU CAN GET FROM THE STATE.....	6-1
A. SCOPE OF DISCOVERY.....	6-1
B. DEFENDANT’S DUTY	6-1
C. MATERIAL, EXCULPATORY EVIDENCE, OR “BRADY” MATERIAL	6-2
1. <i>In-Camera</i> Review.....	6-2
2. Test: Material Evidence.....	6-3
3. Examples of Material Evidence	6-3
4. How to Get Exculpatory Evidence.....	6-6
5. Summary Denial of Post-Conviction Brady Claim Inappropriate.....	6-10
D. STATEMENTS OF DEFENDANT AND PROPERTY	6-10
E. WITNESS LIST	6-11
1. Material Witness.....	6-11
2. State's Rebuttal Witnesses.....	6-12
3. Expert Witness - Facts Known and Opinions Held.....	6-13
4. Avoiding Waiver	6-14
5. Relief Available	6-15
F. CONFIDENTIAL INFORMANT.....	6-15
1. Identity Privilege.....	6-15
G. WITNESS STATEMENTS	6-17
1. Pre-trial Production.....	6-17
2. After Direct Examination.....	6-19
3. Oral Statements Without Written Record	6-20
4. Child Witness Statements	6-21
5. Witness Statements in Police Reports.....	6-21
H. DISCOVERY OF GRAND JURY TESTIMONY	6-21
1. Witnesses' Grand Jury Testimony	6-21
2. Target May Examine Testimony of Others.....	6-22
I. RECORDS AND REPORTS	6-22
1. Police Reports.....	6-22
2. Police Officer's Personnel and Medical Records	6-23
3. Probation Reports	6-23
4. Confidential Files\Privileged Information	6-23
J. LAW ENFORCEMENT VIDEO RECORDINGS	6-23
1. Police Must Allow Inspection of Person Depicted in Law Enforcement Recording	6-23
2. If Police Deny Access to Recording, Person May Petition Trial Court	6-25
3. Redaction of Recordings.....	6-26
4. Expedited Proceedings.....	6-26
5. Retention of Recordings	6-27
K. DEALS/PROMISES	6-27
1. Must Disclose Agreements	6-27
2. Cases.....	6-28
L. PRIOR CONVICTIONS AND JUVENILE ADJUDICATION	6-29

1. Adult Convictions.....	6-29
2. Juvenile Adjudications.....	6-30
M. SCIENTIFIC, MEDICAL REPORTS AND OTHER DOCUMENTARY EVIDENCE	6-30
1. Discovery of Documents, Things in Connection with Deposition.....	6-31
2. Criminal Rule 4 implications	6-31
N. PHOTOGRAPHIC ARRAYS.....	6-31
O. PRIVILEGED INFORMATION.....	6-32
1. Pre-trial Discovery	6-32
2. Timing of privilege	6-33
P. PROSECUTOR’S WORK PRODUCT	6-34
1. Overriding Privilege	6-34
2. Facts, Identities of Persons, or Existence of Documents Not Protected.....	6-34
Q. POLICE REPORTS	6-35
1. Police Diagrams.....	6-35
2. Police Reports Used to Refresh Recollection Before Testifying	6-35
3. Police Impressions and Verbatim Witness Statements Intermingled.....	6-35
4. Waiver	6-35
R. REQUEST TO NON-PARTY FOR INSPECTION OF LAND	6-36
S. TESTING EVIDENCE	6-36
1. Testing Controlled or Dangerous Substances	6-36
2. Underlying Data, Notes from Tests	6-36
3. Items Tested by Police.....	6-37
T. PRESERVATION/DESTRUCTION OF EVIDENCE	6-37
1. Practice Tips	6-37
2. Material Exculpatory Evidence - Duty to Preserve.....	6-38
3. Potentially Useful Evidence - Bad Faith Destruction	6-39
4. Indiana Constitution and Common Law May Provide More Relief	6-41
5. Use of Reports When Evidence Destroyed.....	6-42
6. Jury Instruction	6-43
II. EVIDENCE DISCOVERABLE FROM DEFENSE.....	6-43
A. "NON-TESTIMONIAL" EVIDENCE FROM DEFENDANT	6-43
1. Cell Phones.....	6-43
2. Fingerprints, Photos, Handwriting, and Voice Exemplars - Fifth Amendment	6-44
3. Slurred Speech.....	6-44
4. Routine Booking Questions	6-44
5. Standing, Walking, Making Gestures, Imitating Actions	6-44
6. Bodily Invasion of Accused - Fourth Amendment	6-45
7. Sibling of Alleged Sexual Assault Victim Available to DCS	6-49
8. Discovery of Body Requires Court Order.....	6-49
9. Juveniles - Fingerprints or Photographs.....	6-55
10. Testimonial Evidence - Express or Implied Assertion of Fact.....	6-55
B. DEFENSE WITNESSES.....	6-51
1. Summary of Testimony	6-51
2. Expert Witness - Facts Known and Opinions Held.....	6-51
C. ATTORNEY’S MENTAL IMPRESSIONS, OPINIONS AND THEORIES.....	6-52
1. Must be in Documentary Form.....	6-53
2. Applicability to Other Proceedings.....	6-53
D. DISCOVERY OF MATERIALS IN LAWYER’S FILES	6-53
III. VIOLATIONS AND SANCTIONS, T.R. 37	6-53
A. GENERALLY.....	6-53

1. Discretion in Trial Court.....	6-53
2. Primary Factors in Court's Consideration	6-54
3. Steps to Follow When Seeking Discovery Sanction.....	6-54
4. Types of Sanctions.....	6-55
B. PRESERVING ERROR	6-57
1. Requesting a recess or continuance	6-57
2. Offer of proof.....	6-58
C. EXCLUSION OF EVIDENCE	6-59
1. State's Evidence	6-59
2. Defendant's Evidence.....	6-61
D. MISTRIAL	6-63
E. STATE'S FAILURE TO TURN OVER EXCULPATORY EVIDENCE - BRADY VIOLATIONS - M.1.a	6-64
1. Determining a Brady Rule Violation	6-65
2. Disclosure During Trial May Cure Brady Violation.....	6-67
3. No Duty to Disclose Inculpatory Statements if Not Requested in Discovery	6-68
4. Plea Agreements	6-68
IV. TYPES OF DISCOVERY REQUESTS	6-69
A. ORAL DEPOSITIONS, T.R. 30	6-69
1. Use and Effect in Criminal Cases	6-69
2. Indigent's Right to Depose at Public Expense.....	6-70
3. Defendant's Right to be Present at Deposition.....	6-70
4. Interviewing State's Witness in Private.....	6-72
5. Time of Taking	6-72
6. Deposition Shall be Taken Before Authorized Officer	6-72
7. Notice for Oral Deposition - T.R. 30(B).....	6-74
8. Recording and Preserving the Deposition.....	6-73
9. Using Subpoenas to Compel Attendance of Witnesses	6-73
10. Deposing Party's Failure to Attend or Serve Subpoena	6-73
11. Examination, Objections.....	6-74
12. Deposition Conducted in Bad Faith	6-74
13. Right to Examine, Read, and Make Changes.....	6-74
14. Errors and Irregularities	6-75
15. Publication	6-76
16. Use of Deposition in Court Proceedings.....	6-76
B. DEPOSITIONS UPON WRITTEN QUESTIONS -	6-80
1. Right to Depose	6-80
2. Objections to Form of Questions	6-80
C. DEPOSITION TO PERPETUATE TESTIMONY - T.R. 27	6-80
1. Appropriate to Memorialize Known Evidence	6-80
2. Discretionary.....	6-80
3. Use in Subsequent Actions	6-81
D. PRODUCTION OF DOCUMENTS AND THINGS, TEST AND SAMPLE TANGIBLE THINGS - T.R. 34.....	6-81
1. Party May Request Documents and Tangible Things without Leave of Court.....	6-81
2. Failure to Respond	6-81
3. Motion to Non-Party	6-81
4. Exception to Best Evidence Rule.....	6-82
E. SUBPOENA DUCES TECUM.....	6-82
1. Criminal Rule 2.....	6-82
F. PHYSICAL AND MENTAL EXAMINATION OF PERSONS - T.R. 35	6-83

1. Court Order.....	6-83
2. Civil Cases: No Presumptive Right to Have Counsel Present at/or Record Exam.....	6-83
3. Bodily Intrusions	6-84
4. Warning of Right Against Self-Incrimination.....	6-84
5. Waiver of Physician-Patient Privilege	6-84
6. "Trading" Reports.....	6-85
G. PRE-TRIAL LINEUP	6-85
H. INTERROGATORIES, T.R. 33.....	6-85
1. Used Only Under "Exigent Circumstances"	6-85
2. Guidelines	6-85
I. T.R. 36 REQUEST FOR ADMISSIONS UNNECESSARY	6-86
J. NON-TRADITIONAL DISCOVERY DEVICES.....	6-86
1. Discuss Case with Prosecutor and Police	6-86
2. Challenge Legality of Arrest.....	6-86
3. Apply for Bail or for Bail Reduction	6-86
4. Arrange Transcription of Grand Jury Proceedings.....	6-86
5. Double Jeopardy Plea	6-86
6. File Motion to Suppress Illegally Obtained Evidence.....	6-86
7. Pre-trial Conference	6-86
8. Freedom of Information Laws	6-86
V. PRINCIPLES	6-87
A. PRACTICE TIPS.....	6-87
1. Strategy Considerations	6-87
2. Informal v. Formal Discovery.....	6-87
3. May Seek Inadmissible, Relevant Evidence	6-88
4. Make Request Specific and Describe its Relevance and Importance to Defense.....	6-88
5. Make Effort to Reach Agreement Before Moving to Compel Discovery	6-89
6. Test for Granting Request.....	6-89
7. Protective Orders	6-89
B. COUNSEL'S OBLIGATIONS.....	6-90
1. Prosecutor's Duty to Disclose Exculpatory and Mitigation Evidence.....	6-90
2. Responding to Discovery Request	6-91
3. Continuing Duty to Disclose and Supplement	6-92
4. Custody of Materials.....	6-93
5. Impeding Other Party's Efforts	6-93
6. Excision	6-93
C. IN GENERAL	6-93
1. Purpose/Right to Discovery	6-93
2. Discovery Devices	6-95
3. Waiver Issues.....	6-96
4. Appellate Review.....	6-97
5. Discovery in Extradition Proceeding	6-97

CHAPTER SEVEN - Fact Investigation, Witnesses, Expert Assistance

I. FACT INVESTIGATION AND INTERVIEWING WITNESSES	7-1
A. FACT INVESTIGATION	7-1
1. Facts Win Cases.....	7-1
2. Counsel Has a Duty To Investigate In Every Case	7-1
3. Use of an Investigator	7-3

B. INTERVIEWING WITNESSES - Practice Tips	7-4
1. Do's and Don'ts	7-5
2. Defendant's Right to Interview Any Witness Without Consent of State.....	7-6
3. State Not Entitled to Interview Defendant	7-8
4. Prosecutorial Misconduct to Warn Defense Witness of Criminal Charges During Interview	7-8
5. Interviewing Police Officers	7-8
6. Questioning Co-Defendants	7-9
II. EXPERT & INVESTIGATIVE ASSISTANCE	7-10
A. The Legal Standard	7-10
1. Due Process Right to “Basic Tools” of Defense	7-10
2. Defendant Must Demonstrate Reasonable Necessity.....	7-10
3. Factors Which Indiana Courts Consider in Determining Reasonable Necessity.....	7-10
4. Standards for appointing interpreter for non-native speaking defendant	7-12
III. APPLICATION PROCESS	7-12
A. MAKE A TIMELY REQUEST	7-12
B. REQUEST AN <i>EX PARTE</i> HEARING.....	7-12
C. DEMONSTRATING REASONABLE NECESSITY	7-14
1. Facts.....	7-14
2. Legal Grounds	7-15

CHAPTER EIGHT - Joinder and Severance

I. OFFENSES.....	8-1
A. JOINDER OF OFFENSES	8-1
1. Joinder of Related Offenses.....	8-1
2. Later Prosecution May be Barred by Former.....	8-4
3. Examples of Improperly Joined Offenses	8-7
4. Seeking Joinder - File Motion Before Trial	8-7
5. Appellate Review for Abuse of Discretion	8-8
B. SEVERANCE OF OFFENSES.....	8-8
1. Right to Severance When Offenses Joined "Same or Similar Character"	8-8
2. Factors	8-9
3. Other Factors Affecting Ruling on Motion to Sever.....	8-11
4. Time for Filing Motion.....	8-13
II. DEFENDANTS	8-14
A. JOINDER OF DEFENDANTS	8-14
1. Tactical Advantages and Disadvantages for Defense	8-14
2. When Permitted	8-15
B. CO-DEFENDANT'S HEARSAY STATEMENTS.....	8-16
1. Request Separate Trial Before Trial.....	8-16
2. Non-Testifying Co-Defendant's Statement - Bruton Issues	8-16
3. When <u>Bruton</u> Violation Not Reversible Error	8-20
C. JOINT DEFENSE AGREEMENT	8-20
1. Widely Recognized in Federal Courts	8-20
2. Indiana Law	8-21
D. SEVERANCE OF DEFENDANTS	8-22
1. Discretion.....	8-22
2. Must Show Impossibility of Fair Trial.....	8-22

3. Filing Motion and Preserving Error	8-25
III. CHECKLIST	8-26

CHAPTER NINE - Motions to Dismiss

I. MOTION TO DISMISS BY DEFENDANT	9-1
A. IN GENERAL	9-1
1. Grounds	9-1
2. Use of Motion to Raise Defenses before Trial	9-2
3. State May File Answer	9-3
4. Court's Options in Ruling on Motion	9-3
5. Reprosecution after Dismissal	9-3
6. Appellate Review	9-3
B. PLEADING REQUIREMENTS	9-3
1. Written Motion with Notice to Prosecutor	9-3
2. Support with Materials Outside the Pleadings	9-4
C. TIME FOR FILING DEPENDS ON GROUNDS ASSERTED	9-5
1. Not Later than 20 Days before Omnibus Date	9-5
2. Any Time Prior or During Trial	9-5
3. Amendments	9-5
4. Avoiding Waiver of Error or Defect	9-6
D. HEARINGS ON MOTIONS TO DISMISS	9-6
1. Summary Grant and Denial without a Hearing	9-6
2. Burden of Proof	9-7
3. Cannot Resolve Factual Dispute as to State's Allegations at Hearings	9-7
II. GROUNDS FOR DISMISSAL	9-7
A. DEFECTIVE INFORMATION OR INDICTMENT	9-7
1. Information	9-7
2. Indictment	9-9
3. State's Appeal of Dismissal - "Final Judgment" No Longer Needed	9-9
B. MISJOINDER OF OFFENSES OR PARTIES	9-10
1. Severance, Not Dismissal	9-10
C. DUPLICITY OF ALLEGATIONS	9-11
1. Filing Repetitive Charges	9-11
2. Alleging Several Acts for One Offense	9-12
D. DEFECTIVE GRAND JURY PROCEEDINGS	9-12
1. Successful Grounds for Dismissal	9-13
2. Unsuccessful Grounds	9-14
3. No Discharge of Defendant if State Re-files	9-14
E. OFFENSE NOT STATED WITH SUFFICIENT CERTAINTY	9-14
1. Test For Adequacy - Due Process	9-14
2. Examples	9-15
F. FACTS STATED DO NOT CONSTITUTE AN OFFENSE	9-16
G. DEFENDANT HAS IMMUNITY FROM PROSECUTION	9-17
1. Use Immunity for Witness	9-17
2. No Authority to Grant Transactional Immunity	9-18
3. Case Law	9-18
H. DOUBLE JEOPARDY	9-18
1. In General	9-18
2. Tests	9-21

3. Retrial Not Permitted.....	9-22
4. Retrial Permitted.....	9-32
5. Related Doctrines.....	9-39
I. STATUTE OF LIMITATIONS - UNTIMELY PROSECUTION	9-44
1. Authority/Time	9-44
2. Prosecution Barred - Statute of Limitations - Ind. Code 35-41-4-2	9-45
3. Prosecution Not Barred.....	9-47
4. Tolling Statute	9-48
J. SPEEDY TRIAL VIOLATIONS	9-52
K. LACK OF JURISDICTION.....	9-52
1. When to Make Motion.....	9-52
2. Territorial Jurisdiction.....	9-53
3. Improper Method of Bringing Defendant Within Jurisdiction.....	9-55
4. Jurisdiction After Judgment.....	9-56
5. Concurrent Jurisdiction.....	9-56
6. Jurisdiction of Indiana Courts.....	9-57
L. UNCONSTITUTIONAL STATUTE.....	9-58
1. Authority/Timing	9-58
2. Grounds	9-59
3. Right of Attorney General to Intervene.....	9-62
M. ANY OTHER GROUND AS A MATTER OF LAW	9-62
1. Incurable Incompetency.....	9-63
2. Willful Misconduct by State	9-64
III. MOTIONS TO DISMISS BY PROSECUTOR.....	9-70
A. MANDATORY DISMISSAL	9-70
1. Procedures.....	9-70
2. Re-filing.....	9-70
3. Dismissal with Prejudice	9-71
4. Does Not Extend to Revocation of Probation Proceedings.....	9-72

CHAPTER TEN - Guilty Pleas

I. BARGAINING.....	10-1
A. PLEA BARGAINING.....	10-1
1. Statutory Scheme, Ind. Code 35-35-3.....	10-1
2. Prosecutor Not Required to Bargain	10-1
3. Defense Counsel's Duty to Explore Diversion	10-2
4. Indigent has Right to Counsel.....	10-3
5. Bargained for Conditions in Plea Agreement	10-7
6. Communicate Proposals or Developments	10-11
7. Statements from Plea Negotiations Generally Inadmissible; Exceptions.....	10-11
B. STRUCTURING A PLEA BARGAIN	10-13
1. Plea to Lesser Included Offense (LIO)	10-14
2. Set Term, Open Sentence, or "Cap"	10-14
3. Restitution – Statutory Requirements in Some Circumstances.....	10-15
4. Othe Plea-Bargaining Options	10-15
C. CHECKLIST ON POSSIBLE CONSEQUENCES OF CONVICTION	10-15
1. Maximum penalties authorized by law	10-15
2. Whether term of imprisonment is suspendible under Ind. Code 35-50-2-2.1 or Ind. Code 35-50-2-2.2.....	10-15

3. Probation.....	10-15
4. Parole.....	10-15
5. Good Time Diminution Provision	10-16
6. Degrees of Security of Imprisonment	10-16
7. Civil Disabilities Imposed by State Law.....	10-16
8. Liabilities under Federal Law or Regulation	10-16
9. Forfeiture Statutes Condemning Automobiles and Other Property.....	10-16
10. Privately Imposed Sanctions.....	10-16
11. Eligibility for Alternative Sentencing	10-16
12. Consequences for foreign nationals - Immigration.....	10-17
13. Conviction admissible in civil trial?	10-17
II. GUILTY PLEAS	10-17
A. PLEADING GUILTY	10-17
1. Plea "Conviction".....	10-17
2. Client's Decision	10-17
3. Factual Investigation and Legal Analysis Is Required.....	10-17
4. Court's Acceptance Only if Knowing, Intelligent, and Voluntary	10-18
5. Used as Admission in Civil Cases	10-19
6. Duty to Inform of Plea Offer.....	10-19
B. PLEADING GUILTY BUT MENTALLY ILL (GBMI).....	10-20
1. "Mentally Ill" Defined.....	10-20
2. Cf. Insanity Defense	10-20
3. Consequences of GBMI Finding or Plea	10-20
4. Competence to Plead GBMI	10-21
5. Sentencing.....	10-21
C. WITHDRAWING GUILTY OR NOT GUILTY PLEAS.....	10-21
1. Withdrawal of Not Guilty Plea	10-21
2. Withdrawal of Guilty Plea Prior to Sentencing.....	10-22
3. Withdrawal After Sentencing	10-24
4. <i>Sua Sponte</i> Vacation of Guilty Plea Prior to Judgment	10-25
5. Counsel's Refusal to Assist in Withdrawing Plea Not Always IAC	10-26
D. CHALLENGING GUILTY PLEA.....	10-26
1. Post-Conviction Relief.....	10-26
2. Constitutional Grounds to Vacate Plea	10-27
E. CHALLENGING SENTENCE	10-29
1. Direct Appeal.....	10-29
2. Motion to Correct Sentence	10-30
3. Original Action.....	10-31
F. IMPOSING MORE SEVERE PENALTY AFTER CONVICTION SET ASIDE	10-31
1. PCR Rule	10-31
2. Statute	10-32
G. GUILTY PLEA CONVICTION AS PREDICATE FELONY IN HABITUAL OFFENDER PROCEEDING.....	10-32
1. Collateral Challenge to Conviction.....	10-32
III. JUDGE'S RESPONSIBILITIES PRIOR TO ACCEPTING GUILTY PLEA	10-33
A. DETERMINE COMPETENCY	10-33
1. Hearing	10-33
2. Determining Competency to Plead Guilty	10-33
3. Effect of Ingestion of Drugs.....	10-34
B. ADVISEMENTS	10-34
1. Statutory Advisements.....	10-34

2. Group Advisements	10-36
3. Statutory Advisements - Possible Error	10-37
C. VOLUNTARINESS	10-38
1. Plea must be Voluntary.....	10-38
2. Threats	10-39
D. FACTUAL BASIS	10-41
1. Indiana - Factual Basis Required in All Guilty Pleas (after 1973).....	10-41
2. When Defendant Maintains Innocence at Guilty Plea Hearing.....	10-42
3. Factual Basis Lacking for Elements of Offense - Reversal Required	10-44
4. Habitual Offender	10-44
5. How to Avoid Court's Rejection of Plea.....	10-45
6. Alford or "Best Interest" Plea	10-45
IV. PLEA AGREEMENTS - PROCEDURAL ISSUES.....	10-46
A. WITHDRAWAL OF PLEA AGREEMENT BY PROSECUTOR	10-46
1. Before Reduced to Writing and Filed with Court	10-46
2. Filed But Not Yet Accepted by Court.....	10-46
3. Accepted By Court but Before Sentencing	10-46
B. ACCEPTING PLEA AGREEMENTS	10-46
1. Felony Charges	10-46
2. Misdemeanor Charges	10-47
3. Must First Tell Defendant that Court Bound if Accepted	10-48
4. Making a Record - Felony or Misdemeanor Charges	10-49
C. BINDING EFFECT OF ACCEPTED AGREEMENT	10-50
1. Court is Bound.....	10-50
2. Prosecution Bound by Accepted Plea Agreement.....	10-53
D. SPECIFIC ENFORCEMENT OF BARGAIN	10-54
1. When Court Must Enforce Agreement	10-54
2. Prerequisites to Enforcing Plea Agreement	10-55
3. Specific Performance - Court's Discretion.....	10-56
4. Enforce Plea Agreement by Interlocutory Appeal or Original Action.....	10-57
V. VICTIMS.....	10-57
A. DISCUSSIONS WITH STATE'S WITNESSES	10-57
1. State Cannot Obstruct Communications Between Witnesses and Defense	10-57
2. State Not Entitled to Interview Defendant	10-58
3. Negotiations with Victim Not Privileged.....	10-58
B. VICTIM'S RIGHTS	10-59
1. Generally.....	10-59
2. Victim Defined	10-59
3. Victim's Rights - Pleas Involving Felony Charges	10-59
C. VICTIM'S RIGHTS - SENTENCING ISSUES	10-60
1. Presentence Report	10-60
2. Victim Representative Defined.....	10-60
3. Victim Impact Statement	10-60
4. Right to Make Statement at Sentencing.....	10-61
5. Notice of Order to Reduce or Suspend Sentence	10-62

CHAPTER ELEVEN - Speedy Trial

I. CRIMINAL RULE 4	11-1
--------------------------	------

A. IN GENERAL	11-1
1. Purpose	11-1
2. Calculating Time	11-1
3. Persons Protected.....	11-2
4. Preserving Error.....	11-5
5. Standard of Review.....	11-7
6. Time Limits on Retrial.....	11-7
B. CR 4(A) RELEASE	11-8
1. Rule - Release if Held Six Months Without Trial.....	11-8
2. Appellate Review of CR 4(A) Denials	11-9
3. No "special circumstances" exception to CR 4(A)	11-9
C. CR 4(B) EARLY TRIAL REQUEST	11-10
1. Violation of 70-Day Rule, Discharge Mandatory	11-10
2. Defendant must request speedy trial	11-11
3. Defendant Must Object to Trial or Pre-trial Setting.....	11-13
4. Defendant Has No Duty to Remind Court About 70-Day Rule.....	11-14
5. Court Congestion - Priority.....	11-14
6. Computing Time.....	11-15
7. Dismissal and Refiling.....	11-15
D. CR 4(C) ONE YEAR RULE AND DISCHARGE	11-16
1. One Year Time Limit on Pending Charges.....	11-16
2. Exceptions - Excusable Delays.....	11-17
3. When Time Begins to Run.....	11-17
4. Preservation of Error.....	11-18
5. Refiling of Dismissed Charges	11-19
6. Retrial - "Reasonable Time"	11-20
E. EXCUSABLE DELAYS UNDER CR 4.....	11-21
1. Criminal Rule 4(D) - Unavailability of State's Witness/Evidence.....	11-21
2. Delays Caused by Defendant.....	11-22
3. Delays To Which Defendant Acquiesces.....	11-31
4. State Interlocutory Appeal.....	11-32
5. Emergency Delays.....	11-32
6. Congested Calendar	11-34
7. Effect Of Delays Attributed to Defendant.....	11-37
F. DELAY ATTRIBUTABLE TO PROSECUTOR	11-37
1. Dismissal and Refiling of Charges.....	11-37
2. Fraudulent "Court Congestion" Claim.....	11-37
3. Failure to Comply with Discovery Requests	11-38
4. Defendant's Consent to Continuance Before Trial Date Set	11-38
5. Prosecutor's Conflict of Interest.....	11-39
G. SILENT RECORD.....	11-39
II. SPEEDY TRIAL RIGHTS FOR PRISONERS IN ANOTHER JURISDICTION - INTERSTATE AGREEMENT ON DETAINERS (IAD).....	11-40
A. IN GENERAL	11-40
1. Procedural IAD rights.....	11-40
2. Interplay with other speedy trial provisions.....	11-40
B. APPLICABILITY	11-41
1. To Persons Convicted and Serving Time in Prison.....	11-41
2. Not to Persons Held by Non-Signatory States	11-41
3. Not to Defendant in Federal Custody	11-41
4. Not to Persons Who Are Mentally Ill	11-41

5. Not to Probation Violations	11-41
C. TIME	11-41
1. 180-Days from Demanding Trial on Outstanding Charges	11-41
2. 120-Days from Receiving Custody of Accused.....	11-42
3. Tolling of Time Periods.....	11-42
D. PROCEDURE WHEN PRISONER INITIATES TRANSFER.....	11-42
1. Filing of Request for Final Disposition.....	11-42
E. PROCEDURE WHEN STATE INITIATES TRANSFER.....	11-43
1. Right to Contest Custody\Notice Requirements	11-43
2. Trial Must Begin Within 120 Days of Arrival	11-43
F. WAIVER AND EXCUSABLE DELAY.....	11-44
III. CONSTITUTIONAL RIGHT TO SPEEDY TRIAL.....	11-44
A. IN GENERAL	11-45
1. Federal Law Used to Interpret Indiana's Constitution.....	11-45
2. When Right Attaches.....	11-45
3. Discharge / Waiver	11-45
4. Violation Does Not Preclude Exercise of Jurisdiction.....	11-45
5. Distinctions between CR 4	11-46
6. Policy Considerations	11-46
B. BARKER BALANCING TEST.....	11-47
1. Length of Delay - Triggering Mechanism.....	11-47
2. Reason for Delay	11-48
3. Asserting the Speedy Trial Right.....	11-50
4. Prejudice to Defendant.....	11-51
5. Appellate procedure	11-52
6. Remand for Failure to Apply Four-Factor Test	11-52
IV. PRE-INDICTMENT DELAY - DUE PROCESS.....	11-52

CHAPTER TWELVE - Continuances

I. MOTION BY DEFENSE	12-1
A. IN GENERAL	12-1
1. Motions For Continuance are Not Favored.....	12-1
2. Statutory Continuances	12-1
3. Discretionary Continuances - TR 53.5.....	12-2
4. Adverse impact on Endangered Adult or Child less than 16	12-2
5. Joint Motion by Defendant and Prosecutor.....	12-2
B. THREE STATUTORY GROUNDS	12-3
1. Absent Evidence	12-3
2. Absent Witness	12-3
3. Illness.....	12-5
C. NON-STATUTORY GROUNDS - TR 53.5.....	12-6
1. Continuance as Remedy to Discovery Abuses	12-6
2. Witnesses	12-7
3. Continuance to Substitute or to Obtain Counsel.....	12-8
4. Unavailability of Counsel	12-10
5. Amending Pleadings	12-11
6. Pretrial Publicity	12-12
7. Additional Preparation Time.....	12-12
8. Plea Bargaining.....	12-14

9. Competency Re-Examination	12-14
10. Street Clothes for Defendant.....	12-14
11. Trying Defendant in Absentia.....	12-14
12. Newly Discovered Evidence.....	12-15
D. APPELLATE REVIEW	12-15
1. Denial Based on Non-Statutory Grounds.....	12-15
2. Denial Based on Statutory Grounds.....	12-16
II. MOTION BY PROSECUTOR.....	12-16
A. IN GENERAL	12-16
1. Statutory Continuance - Required When Witness Absent	12-16
2. Avoiding State's Continuance	12-17
3. Non-Statutory Continuances	12-17
4. Speedy Trial Issues	12-18
5. Agreement by Defense Counsel.....	12-20

CHAPTER THIRTEEN - Interlocutory Appeals, Habeas & Mandamus Proceedings

I. OVERVIEW	13-1
II. MANDAMUS ACTIONS (ORIGINAL ACTIONS)	13-1
A. BASICS.....	13-1
1. Jurisdiction - Only Issue	13-1
2. Supreme Court Will Not Adjudicate Rights or Merits of Case.....	13-3
3. Timing/Burden of Proof.....	13-3
4. Parties	13-3
5. Supreme Court's Jurisdiction. Orig.Act.R. 1(A).....	13-3
B. PROCEDURE FOR MAKING APPLICATION	13-3
1. Trial Court	13-3
2. Seek Supreme Court Relief as Soon as Possible.....	13-4
3. Bring Application on Relation of the State.....	13-4
4. Application Papers - Requirements.....	13-5
5. Emergency Writ.....	13-6
6. Submission, Filing and Service of Application Papers	13-6
C. APPLICATION DENIED	13-7
D. HEARING AND SUBSEQUENT PROCEEDINGS	13-8
1. Hearing	13-8
2. Proceedings After Issuance of Writ	13-8
E. EXAMPLES OF MANDATABLE ISSUES.....	13-8
1. Speedy Trial Violations - CR 4.....	13-9
2. Right to Counsel	13-9
3. Special Judge	13-10
4. Jurisdictional Conflicts in Trial Courts.....	13-10
5. Change of Venue Motions	13-10
6. Discovery Orders Affecting Evidentiary Privileges.....	13-10
7. Denial of Bail.....	13-11
III. HABEAS CORPUS PETITIONS.....	13-11
A. INDIANA.....	13-11
1. Procedure	13-11
2. Where to File Writ	13-12
3. Procedural Issues	13-13
4. Appeal to Court of Appeals	13-14

5. Examples of Habeas Issues.....	13-14
6. Examples of Non-habeas Issues	13-15
IV. INTERLOCUTORY APPEALS	13-16
A. JURISDICTION.....	13-16
1. Generally With the Court of Appeals	13-16
B. PROCEDURE	13-16
1. Petition for Trial Court Certification of the Order	13-16
2. Petition to Court of Appeals	13-17
3. Deadlines	13-17
4. Obtaining a Stay Pending Appeal.....	13-18
C. CATEGORIES OF INTERLOCUTORY ORDERS	13-18
1. Pre-Trial Order on Motions to Suppress	13-18
2. Order on Motion <i>in Limine</i>	13-18
3. Motions to Dismiss.....	13-19
4. Rulings on Evidentiary Privileges and Discovery.....	13-19
5. Habeas Corpus Orders	13-20
6. Change of Venue\Change of Judge.....	13-20
7. Waiver of Juvenile to Adult Court.....	13-20
8. Denial of Withdrawal of Guilty Plea	13-20
9. Bail Decisions.....	13-20
V. APPEALS BY STATE	13-20
A. STATUTORY BASIS	13-20
1. State Need not Dismiss Charges When Appealing Granting of Motion to Suppress.....	13-21
2. Appeal Taken by State Does Not Stay Defendant's Judgment.....	13-21
3. Certification by Clerk of Court.....	13-22
B. DEADLINES	13-22
1. State's Cross Appeal Untimely at Time of Defendant's Belated Appeal.....	13-22
C. EXAMPLES.....	13-22
1. Dismissing or Setting Aside of Indictment or Information.....	13-22
2. Discharge of Defendant	13-22
3. Interlocutory Appeals	13-22
4. Suppression of Evidence.....	13-23
5. Post-verdict Motions.....	13-24
6. Acquittal of Defendant.....	13-24
7. Return of Property Seized.....	13-25
8. Sentencing.....	13-25
9. State May Not Appeal from Granting Defendant New Trial	13-25
D. EXCEPTIONS	13-25

CHAPTER FOURTEEN - Professional Responsibility

I. GENERAL DUTIES TO CLIENT.....	14-1
A. COMPETENCE	14-1
1. Duty to Provide Competent Representation - Rule 1.1	14-1
2. Duty of Court to Investigate Client Complaints	14-2
B. LIMITS ON REPRESENTATION	14-2
1. Criminal, Fraudulent and Prohibited Conduct	14-2
2. Perjury	14-4
C. ESTABLISHMENT OF RELATIONSHIP	14-6
1. Question of Fact.....	14-6

2. When Duties Attach.....	14-6
D. FEES	14-6
1. Shall be Reasonable, Preferably Written	14-6
2. No Contingency Fees in Criminal Cases	14-7
3. Terms of Payment.....	14-7
4. Referral Fee	14-8
5. Modification of fee arrangement.....	14-8
6. Attorney Appointed as Public Defender Negotiating Employment as Private Counsel.....	14-9
7. Literary or Media Rights.....	14-9
8. Reporting of Fees and Other Cash Payment	14-10
9. Safekeeping Property/Funds	14-10
10. Unreasonable Fes.....	14-10
E. DILIGENCE.....	14-10
1. Reasonable Diligence Required.....	14-10
2. Violation	14-11
F. COMMUNICATION WITH CLIENT.....	14-11
1. Duty to Keep Client Informed	14-11
2. Exceptions.....	14-13
3. Violations.....	14-13
G. ATTORNEY-CLIENT DECISION-MAKING.....	14-14
1. Rule 1.2(a)	14-14
2. Constitutional Law.....	14-15
H. CONFIDENTIALITY OF INFORMATION	14-15
1. No Disclosure Without Client's Consent.....	14-15
2. Exceptions.....	14-16
I. GENERAL DUTIES AS LAWYER	14-20
II. CONFLICTS OF INTEREST - N.2.C	14-21
A. SIMULTANEOUS CONFLICTS OF INTEREST SITUATIONS	14-21
1. Concurrent Conflict of Interest	14-21
2. Vicarious Disqualification	14-21
3. Criminal Cases - Grave Potential for Conflict	14-22
B. SUCCESSIVE CONFLICTS OF INTEREST - FORMER CLIENTS.....	14-23
1. Rule 1.9.....	14-23
2. Constitutional Right.....	14-24
C. CONFLICTS WITH COUNSEL'S SELF-INTEREST	14-24
1. Literary or Media Rights.....	14-24
2. Personal Relationship with Victim	14-25
3. Sexual Relationship with Client.....	14-25
4. Bail Bond.....	14-25
5. Prohibited Referrals	14-25
6. Providing Financial Assistance to Client.....	14-25
7. Counsel Employed by a Third Party	14-26
8. Compensating Witnesses	14-26
9. Gifts from Clients	14-27
10. Client Trust Accounts	14-27
11. Public Defender Negotiating Employment as Private Counsel.....	14-27
D. FORMER PROSECUTORS, JUDGES OR OTHER PUBLIC EMPLOYEES	14-28
1. Former and Current Government Lawyer.....	14-28
2. Former Judges.....	14-29
E. IMPUTED DISQUALIFICATION.....	14-29
1. Rules Dealing with Imputed Disqualification	14-29

2.	Disqualification of a Firm.....	14-29
3.	Waiver	14-30
F.	DECLINING OR TERMINATING REPRESENTATION.....	14-30
1.	Mandatory Withdrawal: Client Demands Unethical Conduct	14-30
2.	Refusal to Withdraw	14-30
3.	Discharge - Rule 1.16(a) (3)	14-30
4.	Optional Withdrawal.....	14-31
5.	Must Take Steps to Protect Client's Interest	14-31
6.	Refusal to Deliver Over Money or Papers; Contempt	14-32
III.	LAWYER AS COUNSELOR, ADVISOR OR WITNESS.....	14-33
A.	ADVICE.....	14-33
B.	MERITORIOUS CLAIMS AND CONTENTIONS	14-33
1.	Lawyer's Duty to Require State to Establish Every Element	14-33
2.	Asserting a Defense or Legal Issue.....	14-33
C.	INVESTIGATION AND PREPARATION.....	14-33
1.	Duty to Investigate.....	14-33
2.	Illegal Investigation	14-34
3.	Interviewing the Client	14-34
4.	Ineffective Assistance of Counsel Claims.....	14-34
D.	DUTY TO EXPLORE DISPOSITION WITHOUT TRIAL	14-34
1.	Diversion.....	14-34
2.	Plea Negotiating.....	14-35
E.	EXPEDITING LITIGATION.....	14-35
1.	Rule 3.2.....	14-35
2.	ABA Standards: The Defense Function 4-1.9.....	14-35
F.	CANDOR TOWARD THE TRIBUNAL	14-35
1.	General Rule	14-35
2.	<i>Ex Parte</i> Proceedings.....	14-36
3.	<i>Ex Parte</i> Communications	14-36
G.	FAIRNESS TO OPPOSING PARTY AND COUNSEL.....	14-37
1.	Rule 3.4.....	14-37
H.	IMPARTIALITY AND DECORUM OF THE TRIBUNAL	14-39
1.	Rule 3.5.....	14-39
I.	TRIAL PUBLICITY	14-40
1.	Rule 3.6.....	14-40
2.	References.....	14-40
J.	LAWYER AS WITNESS	14-41
1.	If Likely to be Called.....	14-41
2.	Asserting Personal Opinion, Knowledge	14-42
3.	Prosecutors.....	14-42
K.	COMMUNICATION WITH PERSONS OTHER THAN CLIENTS.....	14-42
1.	Represented by Counsel - Need Consent or Authorization.....	14-42
2.	Not Represented by Counsel.....	14-43
3.	Relations with Prospective Witnesses.....	14-43
L.	CRITICIZING JUDICIAL OFFICIALS	14-43
M.	RULE 8.4 MISCONDUCT	14-44
1.	Eavesdropping on Conversation Between Attorney and Client.....	14-45
2.	Discrimination Based on Race or Immigration Status	14-45
3.	Relinquishing control over case to victims	14-45
4.	Criminal act	14-45
5.	Dishonesty	14-47

IV. LAW FIRMS AND ASSOCIATIONS	14-48
A. RESPONSIBILITIES REGARDING NONLAWYER ASSISTANTS.....	14-48
1. Rule 5.3.....	14-48
2. Cases.....	14-48
B. UNAUTHORIZED PRACTICE OF LAW	14-49
1. Rule 5.5(a)	14-49
2. Cases.....	14-49
V. ADVERTISING AND OBTAINING CLIENTS.....	14-49
A. ADVERTISING	14-49
B. CONTACT WITH PROSPECTIVE CLIENTS	14-50
VI. MALPRACTICE LIABILITY/SUBSTANCE ABUSE.....	14-50
A. MALPRACTICE LIABILITY	14-50
1. Public Defender - Tort Claims.....	14-50
2. Prospective Waiver of Malpractice Claims and the Ethical Rules.....	14-51
B. ALCOHOL AND DRUG ABUSE.....	14-51
1. Alcohol	14-51
2. Other Drugs	14-52
3. Lawyer's Assistance Program	14-52
VII. SCOPE - INDIANA RULES OF PROFESSIONAL CONDUCT	14-52
A. FRAMEWORK FOR ETHICAL LAWYERING	14-53
1. Obligatory Rules.....	14-53
2. Discretionary Rules	14-53
3. Comments Only Provide Guidance.....	14-53
B. FAILURE TO COMPLY	14-53
1. Violations do not Give Rise to Civil or Criminal Liability.....	14-53
2. Criteria for Assessing Violation.....	14-54
3. Disciplinary Commission's Burden of Proof	14-55
4. Determination by Supreme Court.....	14-55
Additional References.....	14-55

CHAPTER FIFTEEN - Right to Counsel

I. WHEN THE RIGHT TO COUNSEL ATTACHES	15-1
A. FEDERAL CONSTITUTION.....	15-1
1. Sixth Amendment - "Assistance of Counsel"	15-1
2. Critical Stage of the Proceeding	15-2
B. WHEN RIGHT TO COUNSEL ATTACHES IN INDIANA - Y.2	15-3
1. All Critical Stages.....	15-3
2. Applies in Felony and Misdemeanor Cases	15-3
3. When Right to Counsel Does Not Attach.....	15-4
C. POLICE INTERROGATION (RIGHT TO COUNSEL V. RIGHT TO SILENCE).....	15-5
1. Notifying Suspect of Attorney's Attempt to See Him.....	15-6
2. "Sixth Amendment" Right to Counsel and Reinterrogation.....	15-6
3. "Fifth Amendment" Right to Counsel and Reinterrogation	15-8
4. Indiana - State Should Notify Counsel Prior to Interrogation.....	15-9
D. RIGHT TO COUNSEL IN VARIOUS PROCEEDINGS	15-10
1. Detainer and Extradition	15-10
2. Challenging Grand Jury's Probable Cause Finding	15-10
3. Initial Hearings.....	15-10
4. Pretrial Proceedings.....	15-10

5. Guilty Pleas	15-11
6. Habitual Offender.....	15-12
7. Probation Revocation.....	15-13
8. Parole Revocation.....	15-13
9. Direct Appeal	15-14
10. Sentencing.....	15-14
11. State Post-Conviction Relief	15-14
12. Federal Habeas.....	15-15
13. Juvenile Delinquency Proceedings	15-15
14. Contempt Proceedings	15-17
15. Infractions.....	15-17
16. Paternity.....	15-18
17. Termination of Parental Rights / Involuntary Adoption	15-18
18. Nonsupport Proceeding.....	15-19
19. Child In Need of Services	15-20
II. RIGHT TO COUNSEL OF ONE'S CHOICE	15-20
A. CHOICE OF COURT-APPOINTED COUNSEL	15-20
1. Defendant May Refuse Counsel	15-20
2. Timely Request for Change of Counsel.....	15-21
3. Request Must Be Unequivocal.....	15-21
B. CHOICE OF PRIVATELY RETAINED COUNSEL	15-21
1. Defendant's Right to Choose.....	15-21
2. Continuance to Obtain Private Counsel.....	15-23
3. Failure to Grant Request for Counsel of Choice.....	15-23
C. REQUEST TO WITHDRAW BY COUNSEL	15-24
1. No Unilateral Right.....	15-24
2. IC 35-36-8-2	15-24
3. Indiana Rules of Professional Conduct.....	15-25
III. INDIGENCY AND THE RIGHT TO COUNSEL	15-26
A. COUNSEL AT STATE'S EXPENSE.....	15-26
1. Failure to Request.....	15-26
B. INDIGENCY DETERMINATION - Y.3.a	15-26
1. Province of Judge.....	15-26
2. Showing Required	15-26
3. Transferring Assets to Become Indigent.....	15-27
4. Right to Defense Interpreter.....	15-27
C. DETERMINATION DEFENDANT CAN PAY COSTS - WHERE COUNSEL IS APPOINTED	15-27
1. Factors to Consider	15-27
2. Finding of Ability to Pay	15-27
3. Collection of Funds.....	15-28
4. Costs Required to be Paid.....	15-28
5. Indigent Cannot be Imprisoned for Failure to Pay.....	15-28
6. Cash Bond Cannot be Used for Appeal	15-28
IV. WAIVER OF RIGHT TO COUNSEL	15-35
A. IN GENERAL	15-29
1. Waiver	15-29
2. Waiver by Conduct	15-30
B. ADVISEMENTS REQUIRED PRIOR TO WAIVER.....	15-32
1. Guidelines/Showing for Waiver	15-32
2. Colloquy	15-32
3. Must be Clear and Unambiguous.....	15-33
V. <i>PRO SE</i> REPRESENTATION - STANDBY COUNSEL - HYBRID REPRESENTATION.....	15-35

A. <i>PRO SE</i> REPRESENTATION.....	15-35
1. Sixth Amendment Right	15-35
B. STANDBY COUNSEL	15-40
1. Appointment – Factors to Consider.....	15-40
2. Appointment Over Defendant’s Objection	15-41
3. Role.....	15-41
C. HYBRID REPRESENTATION OR JOINT COUNSEL	15-42
1. No Constitutional Right.....	15-42
2. Rarely Granted.....	15-42
3. Waiver of Ineffective Assistance of Counsel Claim	15-42
4. Cannot be Forced on Defendant Who Validly Waives Right to Counsel	15-43
5. Defendant Not Entitled to Advisement of <i>Pro Se</i> Dangers in Hybrid Representation	15-43
D. LAY ASSISTANCE OR LAY COUNSEL.....	15-43
VI. CONFLICT OF INTEREST.....	15-43
A. MULTIPLE REPRESENTATION	15-43
1. Existence of Potential Conflicts Raised Prior to or During Trial - Holloway Test	15-43
2. Conflicts Raised After Trial - Cuyler Test.....	15-43
3. Waiver of Conflict	15-45
B. INTERFERENCE IN ATTORNEY-CLIENT RELATIONSHIP - Y.9	15-45
1. Eavesdropping.....	15-45
2. Preventing Consultation at Trial	15-45
3. Pretrial Transfers and the Right to Counsel	15-45
4. Requiring Defendant's Lawyer to Testify	15-45
5. Attorney-Client Correspondence	15-46
6. Other Examples of Interference in Attorney-Client Relationship	15-47